Eaton Corp plc Form 3/A March 28, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \* VANLANDINGHAM Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Eaton Corp plc [ETN]

(Month/Day/Year)

WILLIAM J II

1000 EATON BLVD.

(Last)

03/15/2017

(Middle)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

03/24/2017 (Check all applicable)

(Street)

(First)

Director \_X\_\_ Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) 10% Owner \_X\_ Form filed by One Reporting Person

Other (give title below) (specify below) See Remarks below

Form filed by More than One

Reporting Person

CLEVELAND. OHÂ 44122

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

Direct (D) or Indirect (I)

(Instr. 5)

**Ordinary Shares** 

4,939

(Instr. 5) Â D

**Ordinary Shares** 

 $3,417.5 \frac{(1)}{2}$ 

Ι by trustee of ESP

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Edgar Filing: Eaton Corp plc - Form 3/A

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	02/24/2016(2)	(3)	Ordinary Shares	471	\$ 0 (4)	D	Â
Restricted Stock Units	02/23/2017(5)	(3)	Ordinary Shares	3,304	\$ 0 (4)	D	Â
Restricted Stock Units	06/15/2017(6)	(3)	Ordinary Shares	3,465	\$ 0 (4)	D	Â
Restricted Stock Units	02/21/2018(7)	(3)	Ordinary Shares	4,505	\$ 0 (4)	D	Â
Restricted Stock Units	02/23/2019(8)	(3)	Ordinary Shares	4,545	\$ 0 (4)	D	Â
Stock Option	02/22/2012(9)	02/22/2021	Ordinary Shares	6,390	\$ 53.71	D	Â
Stock Option	02/21/2013(10)	02/21/2022	Ordinary Shares	6,400	\$ 51.94	D	Â
Stock Option	02/26/2014(11)	02/26/2023	Ordinary Shares	7,400	\$ 59.56	D	Â
Stock Option	02/25/2015(12)	02/25/2024	Ordinary Shares	4,650	\$ 75.36	D	Â
Stock Option	02/24/2016(13)	02/24/2025	Ordinary Shares	6,350	\$ 71.72	D	Â
Stock Option	02/23/2017(14)	02/23/2026	Ordinary Shares	26,600	\$ 56.55	D	Â
Stock Option	02/21/2018(15)	02/21/2027	Ordinary Shares	22,650	\$ 71.89	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
VANLANDINGHAM WILLIAM J II 1000 EATON BLVD. CLEVELAND, OH 44122	Â	Â	See Remarks below	Â	

## **Signatures**

/s/ Lizbeth L. Wright, as
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These ordinary shares are held in the Eaton Savings Plan.
- (2) These restricted stock units were granted on February 24, 2015 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (3) This field is not applicable.
- (4) Each restricted stock unit represents a contingent right to receive one common share of the issuer.
- (5) These restricted stock units were granted on February 23, 2016 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (6) These restricted stock units were granted on June 15, 2015 and vest as follows: 30% on the second and third anniversaries of the grant date and 40% on the fourth anniversary of the grant date.
- (7) These restricted stock units were granted on February 21, 2017 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (8) These restricted stock units vest on the third anniversary of the grant date.
- (9) These options were granted on February 22, 2011, and are fully vested and exercisable.
- (10) These options were granted on February 21, 2012, and are fully vested and exercisable.
- (11) These options were granted on February 26, 2013, and are fully vested and exercisable.
- (12) These options were granted on February 25, 2014, and are fully vested and exercisable.
- (13) These options were granted on February 24, 2015, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.
- (14) These options were granted on February 23, 2016, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.
- (15) These options were granted on February 21, 2017, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.

Â

#### **Remarks:**

President, Electrical Systems and Services Group, of Eaton Corporation, a subsidiary of the Issuer. On 3/28/2017, the Form is being amended only to attach the power of attorney, which was inadve

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.