WALLACE BASS C JR

Form 4 May 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction

1(b).

(Print or Type Responses)

| | Address of Reporting I EBASS CJR | Symbol | er Name and Ticker or Trading A TECHNOLOGIES INC | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | | | |
|--------------------------------------|--|--|---|---|--|--|--|--|--|--|--|--|--|
| (Last) | · · · | (Month/ | of Earliest Transaction (Day/Year) | Director 10% Owner Officer (give title Other (specify below) | | | | | | | | | |
| 24933 INTE | ERSTATE 45 NO | RTH 05/02/2 | 2018 | Sr VP & General Counsel | | | | | | | | | |
| | (Street) | Filed(Me | nendment, Date Original onth/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | | | | | | | |
| THE WOO | DLANDS, TX 77 | 380 | | Form filed by More than One Reporting Person | | | | | | | | | |
| (City) | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | e 2A. Deemed Execution Date, if any (Month/Day/Year | Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Pri | Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4) | | | | | | | | | |
| Common Stock | 05/02/2018 | | \$ F 654 D 4.1 | 8 192,508 D | | | | | | | | | |
| Common Stock | | | | 37,264 I by 401(k) Plan (2) | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title | and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onNumber | Expiration D | ate | Amoun | t of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underly | ying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securit | ies | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. 3 | 3 and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | A manuat | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | Or | | |
| | | | | | | Exercisable | Date | | Number | | |
| | | | | C + V | (A) (D) | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

Relationships Reporting Owner Name / Address

Officer 10% Owner Other Director

WALLACE BASS C JR Sr VP & 24955 INTERSTATE 45 NORTH General THE WOODLANDS, TX 77380 Counsel

Signatures

Kimberly M. O'Brien, attorney 05/04/2018 in fact

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects shares surrendered to the Issuer to satisfy tax withholding obligations upon the vesting of restricted stock granted on May 2, **(1)** 2016.
- Rounded. Reflects shares held for the Reporting Person's account by the Trustee of TETRA Technologies, Inc. 401(k) Retirement Plan as **(2)** of April 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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