

Johnson Kenneth Wayne
Form 4/A
November 30, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Johnson Kenneth Wayne

2. Issuer Name **and** Ticker or Trading
Symbol
ABRAXAS PETROLEUM CORP
[AXAS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
18803 MEISNER DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/30/2018

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
Vice President - Operations

SAN ANTONIO, TX 78258

4. If Amendment, Date Original
Filed(Month/Day/Year)
11/30/2018

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Abraxas Petroleum Corp Common Stock	11/06/2018		A		103,539	A	\$ 1.8
							336,087
Abraxas Petroleum Corp Common Stock							31,346
							I
							401-K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 0.99					03/17/2010	03/17/2019	Abraxas Petroleum Corp Common Stock	11,250
Employee Stock Option	\$ 1.75					10/05/2010	10/05/2019	Abraxas Petroleum Corp Common Stock	31,875
Employee Stock Option	\$ 2.09					03/16/2011	03/16/2020	Abraxas Petroleum Corp Common Stock	33,000
Employee Stock Option	\$ 4.72					03/15/2012	03/15/2021	Abraxas Petroleum Corp Common Stock	15,000
Employee Stock Option	\$ 3.55					08/09/2012	08/09/2021	Abraxas Petroleum Corp Common Stock	25,000
Employee Stock Option	\$ 3.74					03/08/2013	03/08/2022	Abraxas Petroleum	9,500

Employee Stock Option	\$ 2.39	05/14/2014	05/14/2023	Corp Common Stock	21,50
				Abraxas Petroleum Corp Common Stock	
Employee Stock Option	\$ 3.15	03/11/2015	03/11/2024	Corp Common Stock	23,00
				Abraxas Petroleum Corp Common Stock	
Employee Stock Option	\$ 0.97	03/15/2017	03/15/2026	Corp Common Stock	77,00
				Abraxas Petroleum Corp Common Stock	
Performance based restricted stock	\$ 2.37	04/01/2021	04/01/2021 ⁽¹⁾	Corp Common Stock	27,30
				Abraxas Petroleum Corp Common Stock	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Johnson Kenneth Wayne 18803 MEISNER DRIVE SAN ANTONIO, TX 78258			Vice President - Operations	

Signatures

Kenneth W. Johnson 11/30/2018

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each performance-based restricted stock unit (RSU) represents the right to receive, following vesting, up to 200% of one share of Abraxas Petroleum common stock. The resulting number of shares of Abraxas Petroleum common stock acquired upon vesting of the performance based RSU is contingent upon the achievement of pre-established performance metrics, as approved by the Company's Compensation Committee, over a three year period beginning April 1, 2018 and ending April 1, 2021.

Remarks:

Error on ownership after reported transaction was not correct

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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