Peters James W Form 4 May 14, 2018

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Peters James W |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol WHIRLPOOL CORP /DE/ [WHR] | 5. Relationship of Reporting Person(s) to Issuer                                    |  |  |  |
|--|---------|----------|--|---|--|--|--|
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction  | (Check all applicable)  |  |  |  |
|  |         |          | (Month/Day/Year)   | Director 10% Owner  |  |  |  |
| C/O WHIRLPOOL  |         |          | 05/10/2018   | _X_ Officer (give title Other (specify  |  |  |  |
| CORPORATION, 2000 M-63N                                  |         |          |  | below) below) EXEC VICE PRESIDENT AND CFO   |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check   |  |  |  |
|  |         |          | Filed(Month/Day/Year)  | Applicable Line)  |  |  |  |
| BENTON HARBOR, MI 49022                                  |         |          |  | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| (City)   | (State) | (Zin)    |  |   |  |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-D                           | Derivative  | Secu | rities Acqu  | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|---|------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |      |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   | Code V                                 | Amount  | or   | Price        | Transaction(s) (Instr. 3 and 4)  |  |   |
| Common<br>Stock                      | 05/10/2018                              |   | M <u>(1)</u>                           | 5,000   | A    | <u>(1)</u>   | 15,152   | D  |   |
| Common<br>Stock                      | 05/10/2018                              |   | F                                      | 1,520   | D    | \$<br>155.62 | 13,632   | D  |   |
| Common<br>Stock                      |   |   |  |   |      |              | 2,142.101  | I  | 401(k)<br>Stock<br>Fund                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

#### Edgar Filing: Peters James W - Form 4

# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. Number iomf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. P<br>Der<br>Sec<br>(Ins |
|---|---|---|---|--------------------------------------|---|--|--------------------|---|--|----------------------------|
|   |   |   |   | Code V                               | 7 (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                            |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 05/10/2018                              |   | M                                    | 5,000   | <u>(1)</u>   | <u>(1)</u>         | Common  | 5,000                                  |                            |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Peters James W C/O WHIRLPOOL CORPORATION 2000 M-63N BENTON HARBOR, MI 49022

EXEC VICE PRESIDENT AND CFO

## **Signatures**

/s/ Bridget K. Quinn, Attorney-in-Fact

05/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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