Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

September 05, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Peltz, Nelson

Triarc Companies, Inc.

280 Park Avenue, 41st Floor

New York, NY 10017

USA

Issuer Name and Ticker or Trading Symbol Triarc Companies, Inc.

TRY

- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Statement for Month/Year

September 5, 2002

- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) (X) Director (X) 10% Owner (X) Officer (give title below) () Other (specify below)

Chairman and Chief Executive Officer

- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

1. Title of Security	2. 3. 4.Securities Transaction or Disposed	5.Amount of Securities Beneficially		
		A/ D Price	- ·	
	9/5/02 P(1) 400			
Class A Common Stock		(D)		
	9/5/02 G(1) V 200	(D)	 	
			23,750 (2)(3)	
		I I	5,982,867 (2)(5)	
		 	26,900 (2)	

1.Title of Derivative	2.Con-	3. 4.	5.Number of De	6.Date Exer 7.Title and Amount	
Security	version	Transaction	rivative Secu	cisable and of Underlying	

Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned

|8.F |of

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or Exer			rities	acqui	Expiration	Securities	vat
cise		1	red(A)	or Dis	Date(Month/	I	Sec
Price o	f	1	posed	of(D)	Day/Year)	I	rit
Deriva-		1			Date Expir	I	1
tive				A	A/ Exer- ation	Title and Number	:
Secu-		1 1		D	cisa- Date	of Shares	1
rity	Date	Code V	Amour	nt	ble	l	-
	1	1	l	-	1		

Explanation of Responses:

- (1) Used to make bona fide gift to grandchildren who do not share the reporting person's household.
- (2) Represents number of shares beneficially owned as of September 5, 2002.
- (3) All such shares are held in the Peltz Family Limited Partnership, an entity in which Mr. Peltz is a General Partner.
- (4) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (5) All such shares are owned by DWG Acquisition Group, L.P., an entity in which Mr. Peltz is a General Partner. SIGNATURE OF REPORTING PERSON Nelson Peltz DATE

September 5, 2002