Grennier R Scott Form 4 November 21, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

**STREET** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* Grennier R Scott

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) OSHKOSH CORP [OSK]

(Check all applicable)

Senior VP and Treasurer

C/O OSHKOSH CORPORATION, 2307 OREGON

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

11/19/2018

X\_ Officer (give title below)

10% Owner Other (specify

(Middle)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Director

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivative S                                  | ecurit  | ies Acqui   | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|---|---------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securitie<br>oner Disposer<br>(Instr. 3, 4 | d of (Ľ | <b>)</b> )  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 11/20/2018                              |   | M                                      | 706.688                                       | A       | <u>(1)</u>  | 5,279.96   | D  |   |
| Common<br>Stock                      | 11/20/2018                              |   | F                                      | 227   | D       | \$<br>65.29 | 5,052.96   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Grennier R Scott - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |                 |
|---|---|--------------------------------------|---|---|---|---------|--|--------------------|---|-----------------|
|   |   |                                      |   | Code V                                  | (A)   | (D)     | Date<br>Exercisable                                      | Expiration<br>Date | Title   | An<br>Nu<br>Sha |
| Options (2)   | \$ 66.09  | 11/19/2018                           |   | A                                       | 6,000   |         | <u>(3)</u>   | 11/19/2028         | Common<br>Stock   | 6               |
| Restricted<br>Stock<br>Units                        | <u>(4)</u>  | 11/19/2018                           |   | A                                       | 2,725   |         | (5)  | (5)                | Common<br>Stock   | 2               |
| Restricted<br>Stock<br>Units                        | (1)   | 11/20/2018                           |   | M                                       |   | 706.688 | 11/20/2018   | <u>(6)</u>         | Common<br>Stock   | 70              |

# **Reporting Owners**

| Reporting Owner Name / Address |          |           |         |       |
|--------------------------------|----------|-----------|---------|-------|
|                                | Director | 10% Owner | Officer | Other |

Grennier R Scott C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

Senior VP and Treasurer

## **Signatures**

Ignacio A. Cortina, for R. Scott Grennier 11/21/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/19/2019.
- (4) Restricted Stock Unit Award granted pursuant to the Company's Stock Plan.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/19/2019.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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