GOTTWALD JOHN D

Form 5

February 14, 2012

| February 14 | , 2012 | | | | | | | | | | |
|--|--------------------------------|--|--|---------------|------------------|---------|---|---|---------------------|--|--|
| FORM | 15 | | | | | | | OMB AF | PROVAL | | |
| UNITED STATES SECURITIES AND EXCHANGE COMM | | | | | | | MMISSION | OMB Number: | 3235-0362 | | |
| Check thi no longer | subject | Wa | shington, D |).C. 20549 | | | | Expires: | January 31, 2005 | | |
| to Section 16. Form 4 or Form 5 obligations may continue. See Instruction ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Estimated a burden hour response | verage | | |
| 1(b). | Filed puriodings Section 17(a | suant to Section (a) of the Public U 30(h) of the In | Itility Holdin | ng Compar | ny Ac | t of 19 | | 1 | | | |
| | Address of Reporting LD JOHN D | Symbol | Name and Tic | | ng | | Relationship of suer | Reporting Pers | on(s) to | | |
| (Last) | (First) (M | | 3. Statement for Issuer's Fiscal Year Ended | | | | (Check all applicable) | | | | |
| | | (Month/ | Day/Year) | | | | X Director 10% Owner | | | | |
| | M PARTNERS, ! DINT PARKWAY | | 2011 | | | be | Officer (give t low) | below) | r (specify | | |
| | (Street) | 4. If Am | endment, Date | Original | | 6. | Individual or Joi | int/Group Repo | orting | | |
| | | Filed(Mo | onth/Day/Year) | | | | (check | applicable line) | | | |
| | | | | | | | | | | | |
| RICHMON | D, VA 23235 | | | | | _ | K_ Form Filed by C _ Form Filed by Marson | | | | |
| (City) | (State) | (Zip) Tab | ole I - Non-Der | rivative Secu | rities | Acquir | ed, Disposed of, | , or Beneficial | y Owned | | |
| 1.Title of Security (Month/Day/Year) 2A. Deemed Execution Date (Instr. 3) Execution Date any (Month/Day/ | | | Date, if Transaction (A) or Disposed of (I Code (Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned at end of Issuer's | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | Amount | (A) or (D) | Price | Fiscal Year (Instr. 3 and 4) | (Instr. 4) | | | |
| Tredegar Common Stock | 11/14/2011 | Â | G | 14,840 | A | \$0 | 1,518,928 | D | Â | | |
| Tredegar Common Stock | 12/12/2011 | Â | G | 1,416 | D | \$ 0 | 1,517,512 | D | Â | | |
| Tredegar | â | î | â | â | â | â | 12.052 | T | Footnote | | |

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12,953

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Common

Stock

<u>(1)</u>

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| Tredegar Common Stock | 07/25/2011 | Â | G | 450 | A | \$0 | 450 | I | Footnote (2) |
|-----------------------------|------------|---|---|-----|---|-----|--------|---|---------------|
| Tredegar Common Stock | 11/14/2011 | Â | G | 592 | A | \$0 | 1,092 | I | Footnote (3) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 0 | I | Footnote (4) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 8,571 | I | Footnote (5) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 4,935 | I | Footnote (6) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 26,536 | I | Footnote (7) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 2,100 | I | Footnote (8) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 4,710 | I | Footnote (9) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 0 | I | Footnote (10) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 6,433 | I | Footnote (11) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 2,682 | I | Footnote (12) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 2,682 | I | Footnote (13) |
| Tredegar Common Stock | 07/25/2011 | Â | G | 450 | D | \$0 | 0 | I | Footnote (2) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 30,749 | I | Footnote (14) |
| Tredegar Common | Â | Â | Â | Â | Â | Â | 90,000 | I | Footnote (15) |

| Stock | | | | | | | | | |
|---|------------|---|--------------------------------------|--------------------|---|------|---------|---|---------------|
| Tredegar Common Stock | 11/14/2011 | Â | G | 592 | A | \$0 | 28,684 | I | Footnote (16) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 845,538 | I | Footnote (17) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 142,365 | I | Footnote (18) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 9,043 | I | Footnote (19) |
| Tredegar Common Stock | Â | Â | Â | Â | Â | Â | 500,000 | I | Footnote (20) |
| Tredegar Common Stock | 11/17/2011 | Â | A | 113,580 | A | \$ 0 | 113,580 | I | Footnote (21) |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | | Persons w contained the form d | SEC 2270 (9-02) | | | | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative | 3. Transaction Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | Number of Derivative Securities | | ate | Amou Under Secur | rlying | Security (Instr. 5) | of D Se B |
|--------------------------------------|--|--------------------------------------|---|-----------------------------|--|---------------------|--------------------|------------------------|--|---------------------|-----------------------|
| | Security | | | | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | | En Is Fi (In |
| | | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| • 0 | Director | 10% Owner | Officer | Other | | | |
| | ÂΧ | Â | Â | Â | | | |

Reporting Owners 3 GOTTWALD JOHN D WESTHAM PARTNERS 9030 STONY POINT PARKWAY RICHMOND, VAÂ 23235

Signatures

Patricia A. Thomas, Attorney-In-Fact

02/14/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by wife. (Reporting person disclaims beneficial ownership.)
- (2) Represents transfer of shares to son under Uniform Gifts to Minors Act. (Reporting person disclaims beneficial ownership.)
- (3) Owned by adult son living in household, Charles Houston Gottwald. (Reporting person disclaims beneficial ownership.)
- Previously reported as shares held by adult son living in household, James Christian Gottwald. As son no longer lives in household, the reporting person no longer has a reportable beneficial interest in these shares.
- (5) Held for James M. Gottwald U/A dated 12-1-81, W. M. Gottwald and J. D. Gottwald, trustees. (Reporting person disclaims beneficial ownership.)
- (6) Held for Sarah Wren Gottwald U/A dated 3-1-83, W. M. Gottwald and J. D. Gottwald, trustees. (Reporting person disclaims beneficial ownership.)
- (7) Held by W. M. Gottwald as trustee FBO James C. Gottwald U/A dated 12-21-87. (Reporting person disclaims beneficial ownership.)
- (8) Held as co-trustee FBO Elizabeth Ingrid Gottwald U/A dated 3-28-85. (Reporting person disclaims beneficial ownership.)
- (9) Held as co-trustee FBO William M. Gottwald, Jr. U/A dated 10-13-83. (Reporting person disclaims beneficial ownership.)
- (10) Trust shares distributed to adult son. The reporting person no longer has a reportable beneficial interest in these shares.
- (11) Held by W. M. Gottwald, trustee of James' Crummey Trust (James C. Gottwald) U/A dated 1-3-89. (Reporting person disclaims beneficial ownership.)
- (12) Held as trustee of Michael's Crummey Trust (William M. Gottwald, Jr.) U/A dated 1-3-89. (Reporting person disclaims beneficial ownership.)
- (13) Held as trustee of Beth's Crummey Trust (Elizabeth I. Gottwald) U/A dated 1-3-89. (Reporting person disclaims beneficial ownership.)
- (14) Held by W. M. Gottwald as trustee FBO Charles H. Gottwald U/A dated 10-18-89. (Reporting person disclaims beneficial ownership.)
- (15) Held by Thomas McN. Millhiser and James T. Gottwald, as trustees, of the John D. Gottwald Family Trust, FBO reporting person's children, U/A dated 4-10-92. (Reporting person disclaims beneficial ownership.)
- (16) Held by W. M. Gottwald as trustee FBO Margaret A. Gottwald U/A dated 3-09-92. (Reporting person disclaims beneficial ownership.)
- (17) Held as co-trustee FBO (among others) reporting person's family u/w Floyd D. Gottwald.
- (18) Held as co-trustee of the William M. Gottwald Trust U/A dated 8-16-90. (Reporting person disclaims beneficial ownership.)
- (19) Held by W. M. Gottwald, trustee of Chase's Crummey Trust (Charles H. Gottwald) U/A dated 9/5/89. (Reporting person disclaims beneficial ownership.)
- (20) Held by W. M. Gottwald, trustee of The John D. Gottwald GRAT #! U/A dated January 10, 2011.
- (21) M. N. Gottwald C/F Margaret Addison Gottwald. (Reporting person disclaims beneficial ownership.)

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4