Edgar Filing: Spectrum Brands Holdings, Inc. - Form 4

Spectrum Brands Holdings, Inc. Form 4 November 29, 2016

November 29	, 2016											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION										OMB APPROVAL		
	- UNITED	STATES					NGE C	OMMISSION	OMB Number:	3235-0287		
Check this if no longe	ar .	Washington, D.C. 20549										
subject to Section 16 Form 4 or	51AIEN 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section 17(a) of the P	ublic Ut		ding Cor	npan	y Act of	e Act of 1934, 1935 or Section 0	I			
(Print or Type Ro	esponses)											
1. Name and Address of Reporting Person <u>*</u> Neu Stacey L			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
		Spectrui [SPB]	m Brands	s Holding	gs, In	IC.	(Check all applicable)					
(Last)	(First) (1		3. Date of Earliest Transaction (Month/Day/Year)					Director X Officer (give		Owner r (specify		
C/O SPECTRUM BRANDS 11/25/2016 HOLDINGS, INC., 3001 DEMING WAY								below) SVP of H	below) Iuman Resourc	ces		
	(Street)	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
MIDDLETO	N, WI 53562							Form filed by Me Person	ore than One Rep	porting		
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficiall	y Owned		
(Instr. 3) any			on Date, if Trans Code		ransaction(A) or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
_				Code V		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150. 4)			
Common Stock	11/25/2016			А	1,814 (1)	А	<u>(1)</u>	5,664	D			
Common Stock	11/25/2016			F	635 <u>(2)</u>	D	\$ 121.97	5,029	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day. e	on Date		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships		
For and o water comment of the comment	Director	10% Owner	Officer	Other	
Neu Stacey L C/O SPECTRUM BRANDS HOLDINGS, INC. 3001 DEMING WAY MIDDLETON, WI 53562			SVP of Human Resources		
Signatures					
/s/ Nathan F. Fagre					

/s/ Nathan E. Fagre, 11/29/2016 attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares represent the cash value of the Management Incentive Award under the Issuer's 2014 Management Incentive Plan.
- (2) Represents shares of the Issuer's common stock withheld to satisfy Ms. Neu's tax withholding obligation resulting from the grant of restricted stock under the Issuer's Management Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.