Edgar Filing: STATE STREET CORP - Form 4

STATE STR	REET CORP										
Form 4											
February 17,	, 2017										
FORM						OMB AF	PROVAL				
. •	UNITED	STATES					NGE C	OMMISSION	OMB	3235-0287	
Check th	is box		vva	shington,	, D.C. 20:	549			Number:	January 31,	
if no longer				IANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires: 2005 Estimated average burden hours per		
Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	Filed pu ^{ns} Section 17	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								0.5	
(Print or Type I	Responses)										
CARP JEFFREY N Sy			Symbol	r Name and			-	5. Relationship of Reporting Person(s) to Issuer			
			STATE	STREET	CORP	STT		(Check	all applicable)	
(Last)	(First)	(Middle)	3. Date of (Month/D	f Earliest Tı Day/Year)	ransaction			Director		Owner	
STATE STI CORPORA STREET	REET TION, ONE LIN	ICOLN	02/15/2	017				XOfficer (give below) EVP, CI	title Othe below) LO and Secreta	r (specify ry	
	(Street)			endment, Da hth/Day/Year	-			6. Individual or Joi Applicable Line) _X_ Form filed by O	-	-	
BOSTON, N	MA 02111							Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative S	Securi	ties Acqu	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	3. Transactic Code (Instr. 8)	4. Securiti or(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2017			Code V F	Amount 19,379 (1)	(D) D	Price \$ 81.91	(1131. 5 and 4) 213,051 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address			Relationships			
	Director	10% Owner	Officer	Other		
CARP JEFFREY N STATE STREET CORPORATION ONE LINCOLN STREET BOSTON, MA 02111			EVP, CLO and Secretary			
Signatures						
/s/ Shannon C. Stanley, Attorney-in-fact	(02/17/2017				
**Signature of Reporting Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were withheld to satisfy tax withholding obligations in connection with the vesting of previously awarded deferred stock.
- (2) The balance reflects the amount of shares beneficially owned, including shares received due to dividend reinvestment, as of the date of this report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.