Edgar Filing: Spectrum Brands Holdings, Inc. - Form 4

Spectrum Brands Holdings, Inc. Form 4 December 03, 2015

| December 03 | 3, 2015 | | | | | | | | | | |
|--|--|--|--|-------------------------------------|---------------|--|---|---|------------------|---------|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | OMB APPROVAL | | | |
| | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | |
| if no long subject to Section 1 | Check this box if no longer subject to Section 16. Form 4 or | | | | | | Expires: Estimated a burden hour response | 0 | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| HRG GROUP, INC. Symbol | | | | r Name and m Brands | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| Spectrum Brands Holdings, Inc. [SPB] (Ch | | | | | | | (Checl | ck all applicable) | | | |
| (Last) (First) (Middle) 3. Date of (Month/D | | | | f Earliest Transaction Day/Year) | | | | DirectorX_ 10% Owner Officer (give titleX Other (specify below) below) | | | |
| 450 PARK AVENUE, 29TH 12/01/24 FLOOR | | | | 015 | | | | *See Remarks | | | |
| | (Street) 4. If Amendr Filed(Month/I | | | | - | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| NEW YORK, NY 10022 — Form filed by More than One Reporting Person | | | | | | | | oorting | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-E | Derivative | Securi | ities Acqu | uired, Disposed of | , or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution any (Month/D | n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| ~ | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock (par value \$0.01 per share) | 12/01/2015 | | | Р | 98,863 (1) | A | \$ 95.25 (1) | 34,339,752 | D (2) | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) tive ties red red 3, | | 7. Titl Amou Under Securi (Instr. | int of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relat | tionships | |
|--|----------|-----------|-----------|--------------|
| | Director | 10% Owner | Officer | Other |
| HRG GROUP, INC. 450 PARK AVENUE 29TH FLOOR NEW YORK, NY 10022 | | Х | | *See Remarks |
| Cignoturoo | | | | |

Signatures

| HRG Group, Inc. By: /s/ Thomas Williams, Executive Vice President and Chief Financial Officer | 12/03/2015 |
|---|------------|
| **Signature of Reporting Person | Date |

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents purchases of 98,863 shares of common stock, par value \$0.01 per share ("Shares") of the Issuer by HGI Funding LLC (1)("HGIF"), a wholly-owned subsidiary of HRG Group, Inc., on December 1, 2015, at a price per Share of \$95.25.
- The Shares are owned by HRG Group, Inc., which is the Reporting Person, or HGIF, a wholly-owned subsidiary (together "HRG"). (2)

Remarks:

Mr. David M. Maura and Mr. Omar Asali serve on the board of directors of the Issuer. Mr. Maura is an Executive Vice Presid

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.